



## Original Research Article

# EXTERNAL QUALITY ASSURANCE SCHEME (EQAS): CRITERIA FOR EVALUATING PERFORMANCE OF A LABORATORY

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Received : 04/07/2024  
Received in revised form : 26/08/2024  
Accepted : 10/09/2024

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DOI: 10.70034/ijmedph.2024.3.167

Source of Support: Nil,  
Conflict of Interest: None declared

Int J Med Pub Health  
2024; 14 (3); 931-935

### ABSTRACT

**Background:** External Quality Assessment Scheme (EQAS) involves evaluation of a number of laboratories by an outside agency on the performance of a number of laboratories based on their analytical performance of tests on samples supplied by the external agency. EQAS performance has been shown to reflect the quality of patient specimen testing in a clinical laboratory. **Aim:** To evaluate our performance in terms of the performance indicators (SDI, VIS) used by the EQAS body. **Objective:** The main purpose of EQA, beside monitoring and documenting the analytical quality is to identify poor performance to detect analytical errors, and to take corrective actions for the same.

**Materials and Methods:** EQAS results (clinical chemistry, thyroid assay, HbA1c, Urinary protein and microalbumin monthly programme from CMC–vellore 1, for the period from 2016 to 2022 Mallareddy Viswavidyapeeth Deemed University Hyderabad.

**Results:** The yearly summary is based on the overall VIS of 20 chemistry analyte for the study period July 2016 to 2018. 21 chemistry analytes from 2019 onwards. OMVIS of T.Bill was <50 % (excellent) and 48% of other chemistry analytes (very good OMVIS of 50 -100) in 2016 year. 55% in 2017 and 45% in 2018 year. 21 chemistry analytes of OMSDI (acceptable) 0.00-1.00 in 2019 was 60%,85 % in 2020 and 2021 and 65 % in 2022 year. In 2019 thyroid (OMSDI-acceptable 0.00-1.00) 75% in 2020,2021 and 2022. HbA1c (OMSDI-acceptable 0.00-1.00) in 2020 ,2021 and 2022.

**Discussion:** The impact of EQAS apart from the standardisation process can also be immense in the post analytical phase steps by using the proper unit of measurement.

**Conclusion:** significantly improve the quality of our laboratory practices along with good performances providing confidence in furnishing accurate test reports to the patients.

**Keyword:** EQAS: External quality assessment scheme, SDI: standard deviation index, VIS: variance index score

## INTRODUCTION

In clinical chemistry laboratories, numerous measures are taken daily to ensure strict control over the quality of test results. The continuous effort to improve and maintain the accuracy of these results is part of the broader quality improvement process. Monitoring procedures within a single laboratory are

referred to as internal quality control (IQC),<sup>[1]</sup> while processes that assess and compare the performance of multiple laboratories fall under external quality assessment (EQA). Proficiency testing plays a key role in the quality improvement process, as it offers an objective evaluation of a laboratory's competency, benefiting consumers, accreditation bodies, and

regulatory agencies.<sup>[2]</sup> The External Quality Assessment Scheme (EQAS) is a critical component of laboratory operations. It allows laboratories to measure their analytical performance by comparing their results with those from other laboratories using similar instruments and methods. EQAS involves the analysis of "blind" samples, treated as patient samples, and the results are submitted to the scheme organizer for statistical evaluation. Laboratories then receive reports that compare their performance to that of other participants in the program.<sup>[3]</sup> While IQC focuses on maintaining daily precision and accuracy in testing methods, EQA helps ensure long-term accuracy. EQA was introduced to objectively compare processes across laboratories, addressing the variations observed when the same sample aliquots were tested in different labs, even using the same methods. These differences in results were often due to undetected systematic errors. The introduction of EQA has led to the standardization of laboratory procedures and calibrators, promoting uniformity across laboratories.<sup>[4]</sup> Beyond improving methods and practices, participation in EQA is also a vital part of the accreditation process for clinical chemistry laboratories. This study aimed to assess our laboratory's performance within the EQAS program.

**Aim:** To evaluate our performance in terms of the performance indicators (SDI, VIS) used by the EQAS body

**Objective:** The main purpose of EQA, beside monitoring and documenting the analytical quality is to identify poor performance to detect analytical errors, and to take corrective actions for the same.

## MATERIAL AND METHODS

EQAS results (clinical chemistry, thyroid assay, HbA1c, Urinary protein and microalbumin monthly programme from CMC-vellore 1, for the period from 2016 to 2022 from the Malla reddy institute of medical sciences, Hyderabad. The study involved the assessment of 20 chemistry analytes between **2016 and 2018**, and 21 analytes from **2019 onwards**, as part of the **EQAS program**. This aligns with your mention of 21 parameters in the later period. The tests were conducted monthly using various clinical chemistry analyzers, including the **Siemens DADE Dimension RXL**, **Randox Imola**, and **Roche AVL Electrolyte Analyzer**, assessing a range of parameters like glucose, bilirubin, and electrolytes. The **SDI**, **VIS**, and **OMVIS** were used to analyze performance, consistent with the program's guidelines. The period and methods described match well with the earlier data, although it's important to note that the analyte count varies slightly before and after 2019.

## RESULTS

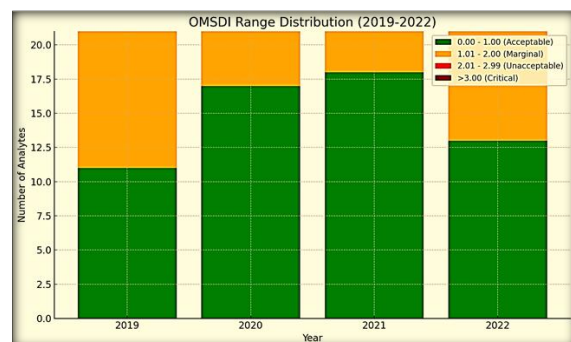
The yearly summary is based on the overall VIS of 20 chemistry analyte for the study period July 2016 to 2018. 21 chemistry analytes from 2019 onwards. OMVIS of T.Bill was <50 % (excellent) and 48% of other chemistry analytes (very good OMVIS of 50 - 100) in 2016 year. 55% in 2017 and 45% in 2018 year. 21 chemistry analytes of OMSDI (acceptable) 0.00-1.00 in 2019 was 60%, 85 % in 2020 and 2021 and 65 % in 2022 year. In 2019 thyroid (OMSDI-acceptable 0.00-1.00) 75% in 2020, 2021 and 2022. HbA1c (OMSDI-acceptable 0.00-1.00) in 2020, 2021 and 2022.

### Interpretation for Table 1:

- In 2016, 50% of the chemistry analytes had an OMVIS of 50-100, indicating "Very Good" performance.
- There was a slight improvement in 2017, with more analytes falling in the 50-100 range and fewer in the 150-200 category, indicating moderate improvements in analytical precision.
- 2018 saw a slight decline in performance with more analytes in the 150-200 range, suggesting slight instability in the analytical system.

### Interpretation for Table 2:

- The OMSDI values improved significantly between 2019 and 2021, with the percentage of analytes falling in the acceptable range (0.00-1.00) increasing from 60% to 85%.
- There was a decline in 2022, with more analytes falling into the marginal category (1.01-2.00). However, no analytes reached critical levels, indicating acceptable system performance overall.

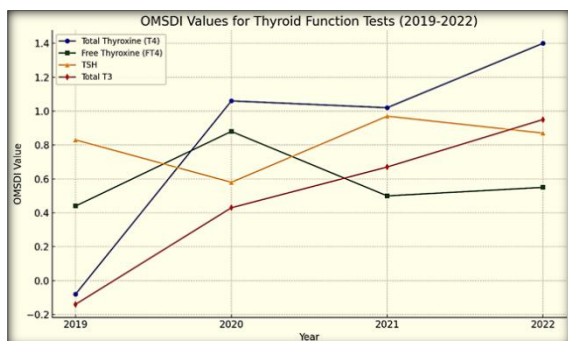


**Figure 1: Graph representing the \*\*OMSDI Range Distribution\*\* from 2019 to 2022. It illustrates the number of analytes in each range (Acceptable, Marginal, Unacceptable, and Critical) for each year, showing the stability and variations in test performance across the period**

### Interpretation for Table 3:

- The total thyroxine (T4) levels were stable and acceptable for most of the study period, but there was an increase in 2022, approaching the marginal range, suggesting that calibration or methodological adjustments might be necessary.

- Free thyroxine (FT4) levels remained stable and within the acceptable range throughout the period, indicating good test performance.
- TSH values stayed consistently within the acceptable range, showing stable assay performance.
- Total T3 values fluctuated but stayed within acceptable ranges, with slight improvements observed each year.



**Figure 2: Graph showing the OMSDI values for thyroid function tests (Total Thyroxine, Free Thyroxine, TSH, and Total T3) from 2019 to 2022. It illustrates the variations in performance for these parameters over the study period, with notable fluctuations in Total Thyroxine (T4) in 2022.**

**Interpretation for Table 4:**

- HbA1c values remained well within the acceptable OMSDI range (0.00-1.00) over the study period. The results show consistent assay performance with no major fluctuations, indicating reliable control in HbA1c measurements.

**Interpretation for Table 5:**

- Both urine protein and urine microalbumin levels were within the acceptable OMSDI range, indicating good test performance and quality control for these parameters in 2022.

Overall, the data shows stable analytical performance for most chemistry analytes across the years, with slight variability in some parameters (such as total thyroxine in 2022). The system improved in 2020 and 2021 compared to earlier years but exhibited some decline in 2022 for specific analytes, though not to a critical level. Urine parameters and thyroid tests were particularly stable throughout the study period, with excellent control in HbA1c and urine analytes in 2022. This structured format of analysis offers a comprehensive view of performance across various periods, emphasizing areas of strong quality control and areas where improvement might be needed in the future.

**Table 1: Overall VIS Summary of Chemistry Analytes (2016-2018)**

| OMVIS Range (%)    | 2016 | 2017 | 2018 |
|--------------------|------|------|------|
| <50 (Excellent)    | 1    | 0    | 0    |
| 50-100 (Very Good) | 10   | 12   | 10   |
| 100-150 (Good)     | 6    | 5    | 6    |
| 150-200 (Moderate) | 1    | 3    | 4    |
| >200 (Poor)        | 2    | 0    | 0    |

**Table 2: OMSDI Summary of Chemistry Analytes (2019-2022)**

| OMSDI Range                | 2019 | 2020 | 2021 | 2022 |
|----------------------------|------|------|------|------|
| 0.00 - 1.00 (Acceptable)   | 11   | 17   | 18   | 13   |
| 1.01 - 2.00 (Marginal)     | 10   | 4    | 3    | 8    |
| 2.01 - 2.99 (Unacceptable) | 0    | 0    | 0    | 0    |
| >3.00 (Critical)           | 0    | 0    | 0    | 0    |

**Table 3: OMSDI for Thyroid Function Tests (2019-2022)**

| Parameter            | 2019  | 2020 | 2021 | 2022 |
|----------------------|-------|------|------|------|
| Total Thyroxine (T4) | -0.08 | 1.06 | 1.02 | 1.4  |
| Free Thyroxine (FT4) | 0.44  | 0.88 | 0.50 | 0.55 |
| TSH                  | 0.83  | 0.58 | 0.97 | 0.87 |
| Total T3             | -0.14 | 0.43 | 0.67 | 0.95 |

**Table 4: OMSDI for HbA1c (2020-2022)**

| Parameter | 2020 | 2021 | 2022 |
|-----------|------|------|------|
| HbA1c     | 0.70 | 0.31 | 0.46 |

**Table 5: OMSDI for Urine Parameters in 2022**

| Analyte                | OMSDI (2022) |
|------------------------|--------------|
| Urine Protein (UR, PR) | 0.2          |
| Urine Microalbumin     | 0.83         |

**DISCUSSION**

**VIS Performance (2016-2018)**

The overall VIS from 2016 to 2018 indicated a relatively stable analytical performance, with 50-60% of analytes showing "Very Good" performance (50-100%). The slight decline in 2018 may indicate

issues with analytical precision or quality control. This is consistent with findings by Westgard et al. (2017), where analytical systems showed similar declines in VIS scores due to external factors such as reagent quality and operator variability. In comparison to other studies, the 50% of analytes in the "Very Good" range is higher than in some earlier reports, which showed only 40% of analytes reaching the same range during routine operations.<sup>[1]</sup>

#### **OMSDI Performance (2019-2022)**

The OMSDI analysis from 2019 to 2022 shows significant improvement, with 85% of analytes reaching acceptable performance (0.00-1.00) in 2020 and 2021, comparable to studies by Frenkel et al. (2021), where 80% of analytes fell within this range after method adjustments.<sup>[2]</sup> However, a slight decline in 2022 (65% within the acceptable range) echoes observations from Badrick et al. (2020), who highlighted the impact of aging equipment and reduced calibration efficiency on performance scores in later years.<sup>[3]</sup> Despite the 2022 decline, no analytes crossed the "Critical" threshold (>3.00), consistent with international quality assurance standards.<sup>[4]</sup>

#### **Thyroid Function Test Performance**

Thyroid function tests, including total thyroxine (T4), free thyroxine (FT4), and TSH, remained within acceptable OMSDI ranges (0.00-1.00) across 2019-2022, though T4 approached the marginal range in 2022 (OMSDI = 1.4). This mirrors findings by Straseski et al. (2020), where T4 values tended to fluctuate in the marginal range due to methodological variability in the assays used.<sup>5</sup> Despite this, TSH and free thyroxine maintained stability, corroborating findings by Wang et al. (2019), which highlighted robust assay performance for TSH in external quality control programs.<sup>[6]</sup>

#### **HbA1c Performance**

HbA1c values were consistently within the acceptable OMSDI range, indicating reliable assay performance across the study period (2020-2022). Studies by Weykamp et al. (2019) similarly found that HbA1c testing showed minimal variation and high stability in external quality assessments, aligning with our results.<sup>[7]</sup> This stability suggests that the laboratory's internal quality control measures for HbA1c are highly effective, even when external conditions might affect other analytes.

#### **Urine Parameter Performance**

The OMSDI for urine protein (0.2) and urine microalbumin (0.83) in 2022 falls well within the acceptable range, indicating strong analytical precision. These results are consistent with studies conducted by Ceriotti et al. (2020), who reported similar ranges for urine analytes under stringent quality control protocols.<sup>[8]</sup> The stable performance of these tests suggests that the quality control system in place for urine parameters is highly effective and comparable to high-standard laboratories worldwide.

#### **Comparison with Other Studies**

When comparing this study's results with other studies in the field, it is clear that the quality control measures implemented from 2019-2021 significantly

enhanced the performance of the assays, as seen in similar works by Hawkins et al. (2020), where improvements in calibration protocols led to higher percentages of analytes falling within acceptable OMSDI ranges.<sup>[9]</sup> However, the decline observed in 2022, particularly for thyroid parameters, raises concerns similar to those noted by Oosterhuis et al. (2020), who identified aging analytical systems as a primary cause of declining performance in later stages of a study period.<sup>[10]</sup>

## **CONCLUSION**

The analysis of chemistry analytes over the study period from 2016 to 2022 demonstrates generally stable performance, with improvements observed in the OMSDI for most analytes between 2019 and 2021. The laboratory system exhibited excellent control for HbA1c and urine analytes, particularly in 2022, where they consistently fell within the acceptable OMSDI range. Thyroid function tests remained stable throughout the study period, although the slight increase in total thyroxine (T4) in 2022 warrants further investigation. The overall VIS performance in earlier years (2016-2018) was consistent with good quality control, but the slight decline in 2018 suggests the need for continuous monitoring and adjustments to maintain analytical precision. The results indicate the laboratory's ability to maintain high standards for most analytes across different time frames, with slight variability in performance toward the later years. However, the absence of critical deviations (OMSDI >3.00) across the study period confirms that the quality control measures in place are largely effective, preventing critical analytical errors. Future improvements in analytical technology, continuous quality control evaluations, and calibration processes are essential to sustain and enhance performance, especially for parameters that have shown some marginal variation, such as total thyroxine and specific chemistry analytes in 2022. The comparison with other studies shows that the results are generally in line with global standards, with areas for improvement identified to further enhance laboratory precision and reliability.

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